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this handout and some of the references quoted at
www.unice.fr/dsl/tobias.htm

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How Semitic is Slavic?

Initial clusters and syllabic consonants

1. Setting the scene

- (1) common approach to initial clusters
 - a. blick - lbick
 1. neither is an actual English word.
 2. blick is an accidental gap: it does not violate grammar.
 3. lbick is a systematic gap: it does violate grammar.
 4. reason: lbick violates sonority sequencing.
 5. we know that sonority sequencing is part of the grammar of English because speakers bluntly reject lbick, but accept blick.
 6. blick could enter the language at any time if it acquires a meaning.
 - b. conclusions
 1. the set of existing initial clusters in a language qualifies as a natural class.
 2. it is defined by grammar.
 3. natural class for English (and many other languages): "within initial clusters, sonority must increase" (s+C clusters lain aside).

- (2) typology of word-initial clusters
[T=Obstruent, R=Sonorant, "RT cluster" = RT, TT, RR]
e.g. Clements (1990)

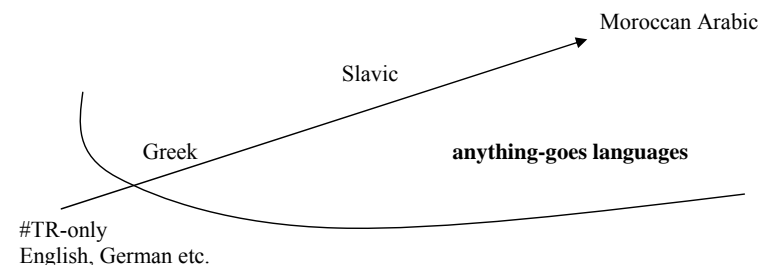
	#TR	#RT	example
a. no initial clusters	no	no	e.g. Ticuna (native Indian, Colombia)
b. #TR-only	yes	no	English, French etc.
c. anything-goes	yes	yes	modern occidental Semitic, Berber, Slavic
d. #RT-only	no	yes	does not exist

- (3) claim
 - a. the blick - lbick analysis holds only for TR-only languages.
 - b. in anything-goes languages
 - there are no systematic gaps
 - all gaps are accidental.
 - c. Polish
 1. #rt: *rtęć* "quicksilver"
 2. #rp does not exist
 3. #rp is as well-formed as #rt.

- d. contrast between RT and TR (stop-liquid) clusters
 1. in both TR-only and anything-goes languages, all logically possible stop-liquid clusters exist (with the pervasive exception of #tl, #dl)

pr	pl	tr	kr	kl
br	pl	dr	gr	gl
 2. by contrast, anything-goes languages make an arbitrary and unpredictable choice among existing and non-existing #RT clusters.

- (4) consequence: a binary typology
 - a. the surface count suggests a gradient typology



- b. Moroccan Arabic: all logically possible CCs occur word-initially (e.g. Kaye 1990, Barkaoui 2000, Scheer 2004: §§383-385)

#TR	#RT	
brid	rbiT	cool down, bind
Drib	rDa	hit, accept
gliʕ	lga	remove, find
bka	kbir	cry, grow larger
nzil	zna	descend, commit adultery
dna	ndim	come near, regret
bqa	qbil	stay, accept

- c. Russian, Czech, Polish, Ukrainian languages where quite some, but not all logically possible non-#TR clusters exist.
- d. (ancient) Greek
 - a language where just a few non-#TR clusters exist:
 - #pt, #kt and aspirated versions thereof, #mn
 - systematic pattern that allows only for #TT and #RR (but not for #RT)?
 - not really, since Greek is far from instantiating all #TTs and #RRs.
- e. Seigneur-Froli (2003,2006), Sanoudaki (2007)
 - contrary to this gradient surface impression, grammars make only a binary choice: TR-only or anything goes.
 - Anything beyond TR-only is anything-goes, with all gaps being accidental.
 - ==> Greek is not just a little tolerant TR-only language. It has the same grammar as Moroccan Arabic.
- f. ==> Slavic anything-goes languages have a Semitic grammar.

- (5) arguments in favour of this perspective
- new words (loans, acronyms) with non-occurring initial clusters may freely enter (Slavic) anything-goes languages.
 - #RTs have anarchic distribution (to be demonstrated below)
it is not true that either occurring or non-occurring #RT clusters constitute a natural class (in Slavic): looking at them from all possible angles, there is no principle that allows to characterise all and only those sequences which are (non-)existing.
 - Slavic: **all** modern #RT clusters have been created by yer-loss: <#T-yer-R
the two consonants of a Common Slavic #RyerT sequence were of course not subject to any co-occurrence restriction. Therefore their reunion through the loss of the yer creates a randomly structured sequence, both as far as its members and as gaps are concerned: #rp does not exist in any Slavic language simply because CS happened not to feature any lexical item that began with #r-yer-p (and has survived).
- (6) consequences/goals
- show that CVCV predicts
 - the binary typological perspective
 - the fact that all gaps in anything-goes languages are accidental.
 - the extrasyllabic approach to #RT clusters is wrong.
 - [tentative]
show that there is a correlation between the existence of #RT clusters and the existence of word-initial syllabic consonants: languages that have the former cannot have the latter.

2. #RT clusters in Slavic

- (7) the corpus
- ambition:
to establish an exhaustive record of all words that begin with a sonorant-obstruent cluster in 13 Slavic languages (hence **not** including #TT and #RR: too much work):

West	South	East
1. Czech	7. Bulgarian	11. Russian
2. Slovak	8. Macedonian	12. Ukrainian
3. Polish	9. Bosno-Serbo-Croatian	13. Belarusian
4. Upper Sorbian	10. Slovenian	
5. Lower Sorbian		
6. Kashubian		
 - method:
 - compilation of synchronic and etymological dictionaries
 - control by native speakers
 - "tolerant" policy regarding the quite numerous cases where dictionaries provide words that either are unknown to natives, or are ill-mastered: people may have heard that word from their grand mother, but are unable to inflect it, or do not really know what it means etc.
 - result
 - a first version appeared in Scheer (2000)
 - the current record is available at www.unice.fr/dsl/tobweb/classes.htm#sldata
 - see the appendix for a sample.

- (8) result
distribution of #RT clusters over Slavic languages
[no indication is given of the number of words/ roots that incarnate a particular cluster¹]

		West						South				East		
		Cz	Sk	USo	LSO	Po	Ka	Bu	Ma	BSC	Sn	Bru	Uk	Rus
jT	jd	+												
	jh	+												
	js	+												
rT	rb									+				
	r̥s	+				+								
	r̥t̥	+												
	rk, r̥k	+												
	rd, rd̥, rd̥z	+				+				+				+
	rz	+								+				
	r̥z	+		+	+	+							+	+
	rt	+				+				+			+	+
	rv, r̥v	+				+				+			+	+
lT	lb	+				+								+
	lg, lh	+				+	+						+	+
	l̥z	+	+			+								
	lz	+		+		+								
	lk	+	+			+								
	lp	+	+											
	ls, l̥ç	+	+			+								+
	l̥f	+											+	
mT	lv	+				+							+	+
	md	+	+			+								
	mg, mh	+				+	+						+	+
	m̥z	+				+							+	+
	mz	+	+	+		+							+	+
	mx					+								+
	m̥f	+	+	+		+	+						+	+
	mk	+				+	+							+
	m̥t̥												+	+
	ms, m̥ç	+	+			+							+	+
	mt	+												
Total: 31		28	8	4	1	20	4	5				12		

- (9) the surface is gradient
- every Slavic language seems to make its own selection among #RT clusters, whose number may range from
 - "zero": Bulgarian, Macedonian, Slovenian, Belarusian
 - "almost none": Sorbian 1,4, Kashubian 4
 - "some": Slovak 8
 - "quite some": Ukrainian 12, Russian 16
 - "a whole lot": Polish 20, Czech 28

¹ It does not seem to make sense to distinguish #Rd and #R̥d or, for that matter, s,z and s̥,z as well as the corresponding affricates, t̥ [w] and l, g and h. All these pairs appear together in the same line. Even though there is reason to believe that Cz r̥ is not a sonorant, I continue mentioning it (together with r).

- b. no language attests the full set of logically possible #RT clusters.
Even the most permissive systems are far from that:
 - 1. Polish: 16%
20 attested out of 126 logically possible #RT sequences (6 sonorants, 21 obstruents).
 - 2. Czech: 26%
28 attested combinations out of 108 logically possible #RT clusters (6 sonorants, 18 obstruents).
 - c. strong contrast with stop-liquid clusters, which systematically exhaust all logically possible combinations both in TR-only and in anything-goes languages.
- (10) reasonable division of Slavic languages into three groups
- a. #RT clusters are common
Czech, Slovak, Polish, Russian, Ukrainian
 - b. #RT clusters are absent
Bulgarian, Macedonian, Slovenian, Belarusian
 - c. #RT clusters are so rare that their synchronic status is dubious
Upper Sorbian, Lower Sorbian, Kashubian
- (11) is the R of #RT syllabic?
- a. #RT clusters are no sonority offenders at all if their R is syllabic: syllabic consonants have vocalic function (they are syllable peaks).
Hence #RT = #VT
==> we must control the syllabicity of R in #RT candidates.
 - b. Scheer (2004:§240, in press) establishes 4 diagnostics that allow to distinguish between syllabic and trapped consonants:
 - 1. only syllabic consonants can bear stress: compare Po trwać with Cz trvať
 - 2. only syllabic consonants count in poetry, and are counted by natives
 - 3. only trapped consonants are transparent to voicing
 - 4. in case of a preceding vowel-zero alternation, the alternation site is vocalized before trapped, but unvocalized before syllabic consonants.
 - c. BSC
#RT is always syllabic (only rT occurs), hence BSC has no #RT at all.
rvati se "to tussle"
rt "cape (in the sea)"
 - d. R in #RT is trapped in the five languages quoted: Czech, Slovak, Polish, Russian, Ukrainian.
 - 1. the R is never stressed, although it would if it could in Cz *rtut'*, Po *rtęć* "quicksilver"
 - 2. other diagnostics for Russian and Ukrainian.
- (12) #RTs are never a natural class
- a. were #RTs controlled by grammar, both the occurring and the non-occurring clusters should form a natural class.
 - b. all attempts at parsing the #RT-set of any of the #RT-displaying languages into a natural class are vain.
Whatever the criterion or the feature or combination of features used (sonority, nasality, place etc.), the #RT-set of all languages will resist exhaustive assignment: some clusters that according to the natural class should exist are absent, and some that are outlawed do occur.

- c. strongest case strategy: Polish
 - 1. Polish is by far the best studied Slavic language as far as phonology is concerned, and this is especially true for initial clusters.
 - 2. starting with Kuryłowicz (1952), a traditional topic in Polish phonology has been to find the guiding principle which is able to tell occurring from non-occurring initial clusters.
 - 3. The exhaustive inventory of Polish initial clusters on which all analytic work is based has been established by Sawicka (1974) (see also Rowicka 1999:309ss and Scheer 2004a:§§375,622).
 - 4. Relevant analytic literature includes Rubach & Booij (1990), Gussmann (1991), Cyran & Gussmann (1998,1999) and Rowicka (1999).
 - 5. result: frustration
"While it [Kuryłowicz' proposal] succeeds remarkably well in covering the existing forms by reducing the heavy consonant groups to simple one- or two-member sequences, it does so at the expense of predicting a massive number of forms which do not and cannot exist. [...]. It is easy to think of numerous cases where the mirror-image situation [of existing #CC clusters] is not possible: although we find [kr, pr, gn, tn] [...], no reversing of elements is possible *[rk, rp, ng, nt]." Cyran (1998:129)
- "In fact [r] can only be followed by some obstruents and never by sonorants, while [n] cannot be followed by anything. Likewise [m] can be followed but not preceded by a sonorant. [...]. Regularities of this sort fail to result from the licensing mechanism called PG. [...] These complex issues are not fully understood at present." Cyran & Gussmann (1998:135)
- d. detail of the Polish situation
 - 1. "+" in a cell = clusters that respect sonority sequencing (according to the permissive interpretation "C₂ must be more sonorous than C₁").
 - 2. "-" in a cell = clusters that violate sonority sequencing.
 - 3. empty cell = cluster does not occur word-initially.

#C₁C₂: existing vs. non-existing initial two-membered clusters in Polish

C ₁	p	t	k	b	d	g	ts	tʃ	ʃ	ʒ	z	ʒ	z	x	m	n	ɲ	r	l	w	j
C ₂	p	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
t	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
k	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
b	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
d	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
g	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
ts	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
tʃ	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
ʃ	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
ʒ	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
z	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
x	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
m	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
n	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
ɲ	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
r	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
l	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
w	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
j	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—

- (13) all Slavic #RTs are produced by the loss of a yer
- synchronically anarchic situation, but an obvious and absolutely exceptionless diachronic generalisation:
all #RT-words in all Slavic languages have been produced by the loss of an intervening yer.
 - all theories need to account for this hard distributional fact:
there is a causal relation between the loss of yers and the particular #RTs that occur.
 - this causal relation is immediately obvious:
there was no co-occurrence restriction between C₁ and C₂ of a Common Slavic #C₁-V-C₂ sequence. In case V happened to be a yer, thus, a #C₁-C₂ cluster was "mechanically" created as the yer was lost.
 - the resulting clusters
 - have no co-occurrence restrictions
 - the distribution of their members is random
- (14) prediction
- all missing #RTs in modern languages are accidental gaps due to
 - the absence of a Common Slavic basis
 - to the fact that a relevant CS basis has not survived into the modern language

- confirmation: #nT clusters
 - completely absent from all 13 languages
 - while #mT clusters are common
 - on markedness grounds, this is entirely unexpected: n is unmarked.
If anything, #mT should be missing.
 - etymological dictionaries (e.g. Havlová 1989-2006:557s, Holub & Kopečný 1952:241, Machek 1957:321) do not have a single CS #n-*yer*-T-V root on record.²

(15) overall diachronic scenario

- Common Slavic was a regular TR-only language
- until the loss of yers "blindly" created offending #RT sequences
- individual Slavic dialects had different responses to this new situation
 - either they maintained the TR-only grammar
 - or they switched to an anything-goes grammar.
- languages in the former case
[Bulgarian, Macedonian, Slovenian, Belarusian, Upper Sorbian, Lower Sorbian, Kashubian]
All kinds of "repairs" are attested in the corpus:
metathesis, epenthesis, loss of C₁ or C₂)
- metathesis: #RyerT > TR, compare Cz *lžice* with Slovak *žlice*
- epenthesis: #RyerT > RVT
- irregular vocalization of the yer: #RyerTV > #RVTV
- loss of R or T: #RyerTV > RV, TV
- languages in the latter case did not show any reaction
- Slavic vs. (Moroccan) Arabic: same diachronic scenario, but
 - in Arabic ALL (short) vowels were lost
 - in Slavic, only two eleventh of the lexicon were concerned (those items that had a yer)

(16) new lexical items

- prediction
if gaps are accidental, new words (loans, acronyms, nonce-words) with non-occurring #RT clusters can freely enter the language.
If gaps are systematic, they cannot.
- borrowings of Georgian words with non-Russian #RT clusters into Russian

#RT		
#mts	Mcyri	poem by Lermontov, and the corresponding character
#mt	Mtacminda	mountain in Tbilisi
#mz	Mziuri	Georgian dance band
#mts	Mckheta	town in Georgia
#rk	rkaciteli	popular brand of wine
#rz	Rza	personal name

² The sequence #n-*yer*-T must be followed by a vowel since otherwise the yer will be regularly vocalised: in roots of the shape #n-*yer*-T-C it occurs in so-called strong position. Dictionaries actually offer one single item of this kind, CS **nštvi* "trough" (< IE **nigw* "washing", e.g. gr *νίϰειν* "to wash"), which indeed shows regular yer vocalisation in all modern reflexes: BSC *načve*, Cz *necky*, Po *niecka*, Old Ru *načvy*.

3. The beginning of the word in CVCV

(17) CVCV

Lowenstamm (1996), Szigetvári (1999), Scheer (2004), Szigetvári & Scheer (2005)
syllable structure boils down to a strict sequence of non-branching Onsets and non-branching Nuclei. The following representations for basic phonological objects ensue:

closed syllable	geminate	long vowel	[...C#]	branching Onset
O N O N	O N O N	O N O N	O N	O N O N
C V C ø	C V	C V	C ø #	T ø R V

(18) syllable structure

- traditional: arboreal structure expresses co-occurrence restrictions and varying affinity among segments.
- CVCV: this function is shifted onto **lateral relations** that are assumed to hold between constituents, **Government** and **Licensing**.
Effects that are usually attributed to the fact that a given segment belongs to this or that syllabic constituent are claimed to stem from the configuration regarding Government and Licensing that it is involved in.
- the result is supposed not to be a null-sum game: The **lateralisation of structure and causality** buys you more than arboreal syllable structure.

(19) the phonological identity of the beginning of the word

= CV

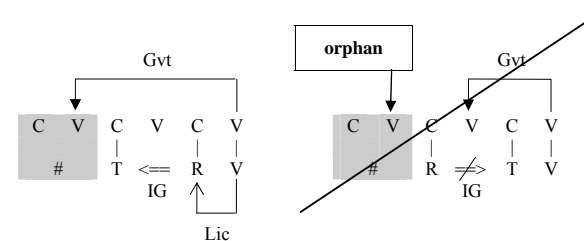
- the initial CV
Lowenstamm (1999)
Scheer (1999, 2004:§83), Ségéral & Scheer (2001,2005)
- more generally speaking: Direct Interface
[Scheer 2005a,b 2006, forth a,b]
representation of extra-phonological information in phonology not through diacritics such as #, the Prosodic Hierarchy and the like, but through truly phonological objects. A truly phonological object is one that exists in the phonology independently of any issue related to the interface.

(20) branching Onsets in CVCV

- [Scheer 1999,2004a:§102]
 - IG = Infrasegmental Government
 - sonorants govern obstruents, but need to be licensed to do so by a full vowel.
 - a Nucleus sandwiched within a domain of IG may remain empty.
- TR clusters may be preceded by an empty Nucleus.
- RT clusters may not: the intervening empty Nucleus requires Government.

well-formed structure: #TRV...

ill-formed structure: #RTV...

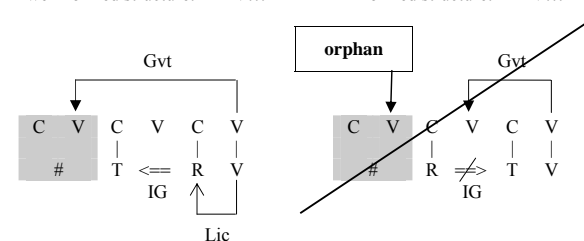


(21) typology of initial clusters

- the presence vs. absence of the initial CV controls #CC clusters.
- initial CV present = TR-only language
initial CV absent = anything-goes language
- the theory does not allow for a third option:
the initial CV can only be present or absent.
 - languages that possess the initial CV

well-formed structure: #TRV...

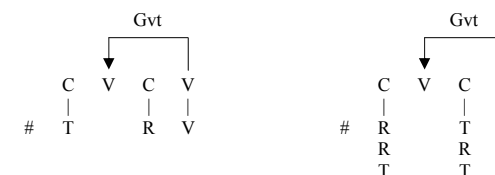
ill-formed structure: #RTV...



2. languages that **lack** the initial CV

#TR: well-formed

#RT: well-formed
Gvt



4. Benefits and predictions

(22) benefit I

the binary parameterisation of the initial CV gets the overall typology right

- #CV-only trivial: no clusters at all
- #TR-only presence of the initial CV
- #TR and #RT absence of the initial CV
- #RT-only cannot exist because the existence of #RT implies the absence of the initial CV, which in turn allows for any possible cluster.

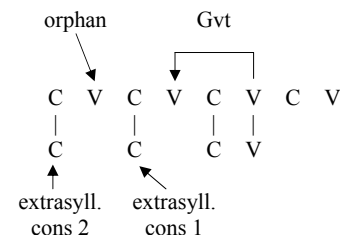
(23) non-trivial predictions

- a. initial CV present: concomitant properties
 1. #C is strong
 2. #RT impossible
 3. the first vowel of a word cannot alternate with zero (e.g. pes - psa)
- b. initial CV absent: concomitant properties
 1. #C is weak
 2. #RT possible
 3. the first vowel of a word may alternate with zero (e.g. pes - psa)
- c. empirical record: not so bad
[it is hard to find diagnostics for all three parameters in the same language]
 1. Seigneur-Froli (2003,2006) shows that Greek
 1. has non-#TR clusters
 2. word-initial consonants are weak (they behave like intervocalic consonants)
 2. across Slavic:
 1. #RT present, the first vowel may alternate with zero: Czech, Polish, etc.
 2. #RT absent, the first vowel may not alternate: Belarusian (lav - ilva), Bulgarian
 3. BSC and Slovenian appear to contravene, but the status of the pas - psa pattern is not clear: there are reasons to believe that it is synchronically inactive.
Also: the status of these languages as anything-goes is dubious: only a few #TTs and #RRs, no #RTs.

(24) benefit II

- a better solution for extrasyllabicity
[Scheer 2004a:§339,2004b]
 - a. the regular extrasyllabic analysis (e.g. Rubach & Booij 1990) predicts that there can be a random number of extrasyllabic consonants.
 - b. this is because any number of unsyllabifiable initial consonants will be left unparsed by the syllabification algorithm (or equivalent constraints). They are then reintegrated into the Prosodic Hierarchy (adjunction) at a later derivational stage.
Depending on the analysis, they
 1. either simply stand astray (Hall 1992, Wiese 1996)
 2. or are adjoined to the Onset, and Onsets are then said to be able to violate Sonority Sequencing at the surface (but not when core syllabification takes place) [e.g. Hall 1992:122ss, 2000:248]
 3. or are directly adjoined to some constituent of the Prosodic Hierarchy, e.g. the prosodic word, the phonological word (e.g. Rubach & Booij 1990, Rubach 1997).
 - c. in any case there is no restriction defined regarding the number of extrasyllabic consonants that can be adjoined: how many consonants and of which sonority slope can Prosodic Word contain? On the grounds of which co-occurrence restrictions?

- d. by contrast, CVCV predicts that there can be one "extrasyllabic" consonant at most: any additional consonant implies an additional empty Nucleus, and two empty Nuclei in a row are ill-formed.
This appears to be a true statement, even for a "wild" language like Polish, cf. the detailed demonstration in Scheer (2004a:§373).



5. What kind of animal is a syllabic consonant ?

(25) what kind of animal is a syllabic consonant?

[Scheer 2004:§240,in press]

- a. idea
 - a syllabic consonant is a hermaphrodite:
 - it is a consonant because it sits in an onset
 - it behaves like a vowel because it branches on a nucleus
- b. does it branch on the preceding or on the following nucleus?
 - Right-branching structure
Yoshida (1990), Rowicka (1999:261ss), Blaho (2001,2004), Rennison (1999:333ss).
 - Left-branching structure
Harris (1994:224s), Hall (1992:35s), Wiese (1986,1996) and Toft (2002).

left-branching



right-branching



- (26) there are several arguments to be made – here is one from Slavic diachronics, which supports the identification of syllabic consonants as left-branching structures.

[Scheer 2004:§277]

- a. syllabic consonants were **preceded** by a yer in Common Slavic.
- b. trapped consonants were **followed** by a yer in Common Slavic.
- c. C₆RC > syllabic C₆RC
CR₆C > trapped CRC
- d. yers "ь", "ѣ" were schwas that faded away in late Common Slavic.

(27) **Equation 1**

Czech √CRC- syllabic = Polish √CRC- trapped

	Common Slavic	Polish	Czech	gloss (Polish)	gloss (Czech)
CrC	trъvati	trwać	trvat	last	last
CrzC	dъvri grъmĕti brъnĕti chъbъbъtъ trъstina	drzwi grzmieć brzmieć grzbiet trzcina	dveře hřmět brnět hřbet trstina	door to thunder sound back reed (plant)	door to thunder tickle back reed (plant)
CiC	slъza klnъ- plъv- blъcha	łza < slza klnę plwocina pchła	slza klnout arch plvat > plivat old Cz blcha > blecha	tear I curse sputum flea	tear curse spit flea

(28) **Equation 2**

Czech √CRC- syllabic = Polish √CVRC- pre-vocalized³

Polish reaction	Common Slavic	Czech	Polish	Czech gloss	Polish gloss
CaRC: 34	gъr-dlo gъrt-тъ pъrstъ sъr-na pъrsi	hrdlo hrst prst sma prsa	gardlo garść parst sarna sierś	throat (cupped) hand finger roe breast	throat (cupped) hand roe breast
CieRC: 16	sъrpъ vъlkъ vъlna sъrdъ-ce pъlnъ	sъp vlk vlna srđce plný	sierp wilk wełna serce pełny	sickle wolf wool heart full	sickle wolf wool heart full

Total: 60

(29) conclusion

- a. can it be predicted whether the Polish response to a Czech syllabic consonant is a vocalized or a trapped sonorant ?

YES:

Polish trapped CRC < following yer CRъC

Czech √CRC- = Polish √CRC-

Polish prevocalised CVRC < preceding yer

Czech √CRC- = Polish √CVRC-

- b. ==> trapped consonants come from postvocalised CRVC structures

(30) questions

- a. why does Czech not reproduce the Common Slavic opposition trъrt vs. trъt in the way Polish does ? Both origins are merged and appear as syllabic consonants.
==> answer: the merger is a modern phenomenon – Old Czech distinguished between trapped and syllabic consonants.
- b. how is the Common Slavic opposition between trъrt and trъt established ?
==> answer: by balto-slavic comparatism: Baltic and Eastern Slavic consistently distinguish pre- and post-vocalized sonorants.

³ With one exception that does not bear on the generalization, i.e. CluC- vocalizations such as in pol tłusty = cz tłusty = slk tlstý "thick".

(31) CS CRъC = consistently **postvocalised** in Baltic and Eastern Slavic

trapped in Polish: Baltic CRi/uC = ESI CRē/oC = Czech CRĀC = Polish CRC

	other IE	Baltic (lith)	Common Slavic	Eastern Slavic (rus)	Polish	Czech
CrC	skr dhruva, lat durua		trъvati	ukr tryvaty	trwać	trvat
		kraujas	kъvъ	krov', krovī	krew, krwi	krev, krve
CrzC	skr dvaaras	dvaras	dъvri	dver'	drzwi	dveře
	germ Gram, gr khromos	grumentī	grъmĕti	gremet'	grzmieć	hřmět
	lat fremo, germ Bremse, skr bhramaras		brъnĕti	ukr brenity	brzmieć	brnět
			chъbъbъtъ	chrebet	grzbiet	hřbet
		trušis	trъstina	trostina	trzcina	trstina
< germ krist		kъrstъ	krest, kresta	chrzest, chrztu	křest, křtu	
CiC	germ schlucken	žliukti	slъza	sleza	łza < slza	slza
			klnъ-	kljanu	klnę	klnout
lat glutire		glъstati	glotat'	old p khtać	hltać	
		plъv-	plevat'	plwać	arch plvat > plivat	
skr plutas, gr plytos	latv pluts	plъtъ	plot, ploti	pieć, plci	plić, pliti	
germ Floh	blusa	blъcha	blocha	pchła	old Cz blcha > blecha	

(32) CS CъRC = consistently **prevocalised** in Baltic and Eastern Slavic

vocalized in Polish: Baltic Ci/uRC = ESI Ce/oRC = Czech CRĀC = Polish CVRC

other IE	Baltic (lith)	Common Slavic	Eastern Slavic (rus)	Polish	Czech
lat gurgulio, germ Gurgel	gurklis	gъr-dlo	gorlo	gardlo	hrdlo
gr a-gortos	gurse	gъrt-тъ	gorst'	garść	hrst
skr pr̥štiš, oiran par̥šti, germ Fürst	pirštas	pъrstъ	arch perst	parst	prst
lat cervus, gr keras, skr širas	latv sirnas, oldpr sirvis, lit stirma	sъr-na	serna	sarna	srna
skr paršu	piršis	pъrsi	persi	sierś	prsa
lat sarpio, gr harpee	latv sirpe	sъrpъ	serp	sierp	sъp
skr vrkas, got wulfs, alb ulk	vilkas	vъlkъ	volk	wilk	vlk
oiran varna, got wulla	vilna, oprus vilna	vъlna	volna	welna	vlna
arm sirt, lat cordis, got herto, gr kardia	širdis	sъrdъ-ce	serdce	serce	srđce
got fuls, skr purnas, but lat plenus, gr pleios	pilnas	pъlnъ	polnyi	pełny	plný

(33) summary of the comparatistic situation

Polish vocalized vs. trapped consonants continue CS trъrt vs. trъt

	hence: CS	Baltic	ESI	Pol
a. Polish trapped sonorants	CRъ/ъC	CRi/uC	CRē/oC	CRC
b. Polish vocalized sonorants	Cъ/ъRT	Ci/uRC	Ce/oRC	CVRC

- (34) OCS has the yer "on the wrong side"
- CS yerR > OCS Ryer
CS Ryer > OCS Ryer
 - OCS script indicates merger of both origins. This is not the case for sure:
 - Eastern Slavic consistently distinguishes them
 - Polish consistently distinguishes them
 - OCS <ръ, рь, ѡ, ѣ> is simply a way of transcribing syllabic consonants: [r', r, l', l]
(Rospond 1979:94, Vondrák 1924:181, Carlton 1991:152, van Wijk 1949-50).

- (35) but what has happened to Czech (and Slovak) trapped consonants ?
- CS pre- and postvocalised sonorants have merged in Czech: they are both syllabic.
 - this merger is recent:
Old Czech faithfully distinguishes syllabic and trapped consonants exactly along the lines expected:
CS yerR > OCz syllabic R
CS Ryer > OCz trapped R
 - older sources (13th - late 14th century):
 - CrC < CS tr̥t do not count in poetry: they are trapped
 - OCz CRC < CS tr̥t count in verse
 - later OCz (from late 14th century on)
CrC < CS tr̥t start to count in verse as well

- (36) Old Czech
- poetry obeys typical Old Czech Alexandrine verse, counting eight syllables.⁴
 - literature on the change from trapped to syllabic consonants in OCz texts:
Smetánka (1940) (much raw material, datation and counts for individual texts),
Lehr-Splawiński & Stieber (1957:97), Komárek (1969:128s).
 - general literature on the merger
Trávníček (1935:57s, 111ss, 226ss), Lehr-Splawiński & Stieber (1957:97ss),
Komárek (1969:60s, 82, 97ss, 127ss), Liewehr (1933:93s, 162s).

- (37) examples of older sources

a.	C _ C within a root	CrC < tr̥t		
	1 2 3 4 5 6 7 8			
	we krwi jakžto vodě kalé	krwi < kꙗꙗve	AlxB.	verse 3,18, late 13th, early 14th cent.
	1 2 3 4 5 6 7 8			
	a z jich srdce krwe utočie	krwe < kꙗꙗve srdce < sꙗꙗdꙗce	AlxV.	verse 1517, late 13th, early 14th cent.
	1 2 3 4 5 6 7 8			
	Mezi oči jemu plvali	plvati < plꙗvati	Hrad.	60s of the 14th century
b.	C _ C outside a root			
	1 2 3 4 5 6 7 8			
	a ty zlaté jablko jmiechu	jablko < jablꙗko	AlxV.	late 13th, early 14th cent.
	1 2 3 4 5 6 7 8			
	v cyprskéj zemi v dobrém slově	cyprský < cyꙗꙗský	Kat.	early 14th century

⁴ Hrad. = Hradecký rukopis, collection of versified compositions from the 60s of the 14th century. Alx. = Alexandreida, epic poems on Alexander the Great dated end of 13th, beginning of 14th century, AlxV. is a fragment of a later copy thereof dated beginning 15th century, AlxB. and AlxH. are fragments of a later copy dated beginning 14th century. Kat = Katonovy mravní připovědi, versified translation of the collection of aphorisms by Catonis Distich, dated beginning 14th century.

c.	C _ #			
	1 2 3 4 5 6 7 8			
	bratr Filotón, jenž boj bráše	bratr < bratrꙗ	AlxV.	late 13th, early 14th cent.
	1 2 3 4 5 6 7 8			
	vňuž by se třásl svět i moře	třásl < tꙗꙗslꙗ	AlxH.	late 13th, early 14th cent.
	1 2 3 4 5 6 7 8			
	matko pro tvých sedm radostí	sedm < sedmꙗ	Hrad.	60s of the 14th century

Texts from the 15th century and younger systematically do count liquids in CrC < CS tr̥t. On the other hand, CrC from CS tr̥t have always contributed to metric weight since the earliest Old Czech sources until the present day. This is also evident from the second verse under **Erreur ! Source du renvoi introuvable.** a where the liquid in the word "heart" srdce < CS sꙗꙗdꙗce does count in presence of the metrical irrelevance of its mate in "blood GENsg" krwe < CS kꙗꙗve.

- (38) there is an OCz minimal pair syllabic vs. trapped consonant.
This was identified by Trubetzkoy (1939:199), who consequently establishes a "correlation of syllabicity". Cf. Komárek (1969:82) and Liewehr (1933:94) on the minimal pair.

	syllabic "hold"	trapped "tremble, shake"
Common Slavic	držati	drꙗꙗzati
Polish	dzierżyć	drzeć
Russian	deržat'	drožat'
Old Czech	držěti	držěti
Modern Czech	držet	—

- (39) illustration in verse
Old Czech držěti vs. držěti
- držěti = 3 syllables

1	2	3	4	5	6	7	8
to	jmě	drzal	takým	kmenem			
							Kat. verse 24
 - držěti = 2 syllables

1	2	3	4	5	6	7	8
všecko	pohanstvo	drzezalo					
							Kat. verse 2803

- (40) summary
Western Slavic reflexes of Common Slavic tr̥t and tr̥t

	tr̥t	tr̥t	example
Common Slavic	tr̥t	tr̥t	sꙗꙗna - trꙗꙗvati
OCS	tr̥t	tr̥t	sꙗꙗna - trꙗꙗvati
Old Czech	tr̥t	tr̥t	sꙗꙗna - trꙗꙗvati
Modern Czech, Slovak	tr̥t	tr̥t	sꙗꙗna - trꙗꙗvat
Polish	tVrt	tr̥t	sarna - trwać

6. No initial syllabic consonants in anything-goes languages ?

- (41) conclusion (from the Slavic evidence)
syllabic consonants are left-branching
trapped consonants are right-branching

e.g. Czech trvat "to last"
syllabic consonant



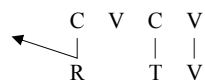
e.g. Polish trwać "to last"
trapped consonant



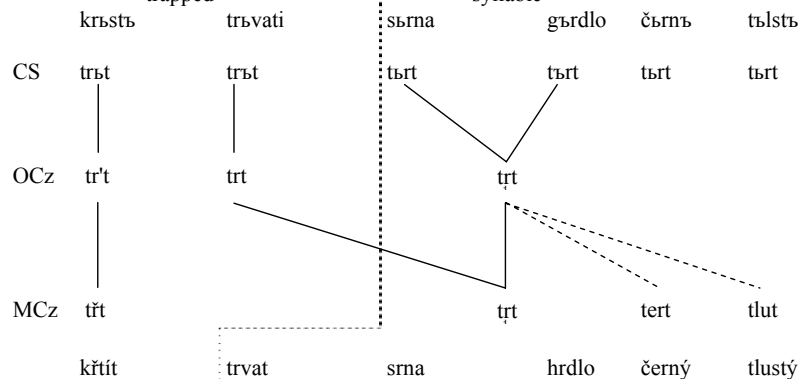
- (42) impact of the beginning of the word on syllabic/ trapped consonants
- hence in an anything-goes language where the initial CV is absent, a word-initial sonorant has nothing to branch on to its left: it cannot become syllabic.
 - it can, however, become trapped, since this requires an empty nucleus to its right, which is always available.
 - TR-only language



anything-goes language

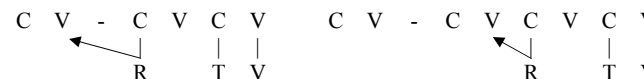


- (43) evolution of Common Slavic tr̥rt and tr̥rt in Czech



- (44) question
- why did ALL trapped sonorants spontaneously become syllabic, except in word-initial position?
 - something prevented them from becoming syllabic in this position.
 - answer: because initial trapped sonorants had nothing they could have branched on.
 - [ř is not a candidate for becoming syllabic because it is not a sonorant (it has voiced and voiceless versions and participates in final devoicing) – only sonorants can be syllabic (in Slavic, perhaps universally).]

- (45) prediction
- correlation
 - word-initial trapped sonorants that "want" to become syllabic in TR-only languages (that possess the initial CV) may become syllabic.
 - word-initial trapped sonorants that "want" to become syllabic in anything-goes languages (that lack the initial CV) may not.
 - how can that be tested?
the existence of word-initial syllabic consonants in anything-goes languages is not enough to falsify the prediction: sonorants may branch lexically, rather than on the initial CV. It is their genesis that is important.
 - initial syllabic consonant in a TR-only language initial syllabic consonant in an anything-goes language



- d. possible testing ground: South Slavic
- there are initial syllabic consonants in (some versions of) BSC.
 - but are BSC/Slovenian representatives of the TR-only or the anything-goes pattern?

7. Conclusion

- (46) conclusion
- binary typology
languages may have no, some, quite some, a lot or all possible #RT clusters.
This surface gradation is irrelevant. It instantiates just two possible grammatical situations:
- either grammar imposes #TR-only: initial CV present
- or grammar imposes no restrictions at all: initial CV absent
 - in anything-goes languages, all gaps are accidental.
 - arguments:
 - the set of #RT clusters is always random (while the set of #TR clusters is not)
 - Slavic: #RT are always the result of yer-loss
 - Sanoudaki (2007): evidence from the acquisition of Greek: infants of any anything-goes language go with anything, while infants of TR-only languages do not.
 - benefits
 - explanation of the distributional situation in anything-goes languages
 - explanation why there can be only one "extrasyllabic" consonant in a row
 - explanation why OCz initial trapped consonants refused to become syllabic.

Appendix 1

Slavic word-initial lateral-obstruent clusters

[full corpus at www.unice.fr/dsl/tobweb/classes.htm#ldata]

Root	#CC	Common Slavic (Old Church Slavonic)	IE and comparatistic evidence	gloss CS	West					South				East			
					Czech	Slovak	Upper Sorbian	Lower Sorbian	Polish	Kashu- bian	Bulgarian	Mace- donian	Bosno- Serbo- Croatian	Slovenian	Belarusian	Ukrainian	Russian
1	h b -	lb	h b h-	IE leubh- GENsg NOMsg	lbi				lba							lba	
					leb-, lebi-	leba			leb		lob (arch)		Cr lubanja, Srb lobanja	lobanja	lob, lba	lob, toba GEN	
2	h g -ati	lg	h g ati, h g -jo	NHG lügen	lie inf, 1sg	lhat, lža		fač	lgač, lže	lgac						lhaty lgať, lgun	
		lž	h g -a		lie GENsg lie NOMsg	lži	lži, lživý				hga	laže	lagati	lagati	lhač	lži (Gsg) lož	
3	h g -	lg	h g -sk a -, h g -staj s	IE legwh-u- skr laghú-, gr elakhys-, lat levis, NHG leicht	light	lhostejný			lgi (arch)								
					respice, deadline	lhúta, lhuťnik, lhota (topo)		ľahký, ľaho- stajný	ločki	lažki	lekki	letk'í	lek	lek	lak, lagan, laknuti	lahak, lahak	ľochki
						lehký	lehota									odlog	ľhota
		lž	h g -a		it is suitable to	lze		neľ'za		lza, lza (arch)					lahko	ľha	neľ'ha
																	ľzja (arch)
4	h k -	lk	h k	onom (s)luag-, NHG schlucken	mourn	lkát (poet)		ľkat' (poet), ľkanie		lunk		polykač		ľoka			
						po-lykat											
5	h p -	lp	h p -	NHG bleiben, leben	cling, stick	lpět, lnout	lpiti, lnút'		lgač								
						lepít	lepít'	lēpic	lipaš	lepíč	lnanc	lepilo	lepak, lepi	lepiti, ljepeiti	lepiti	li'muc, lipnuc	ľnuty
6	h sk -, h š -	ls, lš	h š č-ati (se) h sk -ati, h š k-ati	IE leukh-, gr leukhos, lat twinkle	shine, twinkle	lsknouti se (arch), lšiti se			lsknač, lsnač, lšnič								ľlce

Root	#CC	Common Slavic (Old Church Slavonic)	IE and comparatistic evidence	gloss CS	Czech	Slovak	Upper Sorbian	Lower Sorbian	Polish	Kashu- bian	South	Bulgarian	Mace- donian	Bosno- Serbo- Croatian	Slovenian	Belarusian	Ukrainian	Russian
			h st , listi (> NHG List), ročate	OHG listiz (> NHG List)	lesk, lesku	lesk, lesku, lesknuť se	šćany (arch)	šćas se, šćis se	šlmě (arch)	šćwcy	hskav, hštja	leskot	laštiti se	lesk, lesketati, leščati se (arch)	ifšnicca	ifšce	losmiť sa	
7	h st h-	ls	h st h- > OHG listiz (> NHG List)		cunning, ruse	listi (Gsg), listivost, listivý, listný	šćati (Gsg), listivost'		šćci (arch), šćwcy									ľstif'
						lest'	lest', lesti	leşć	laşć	leşć (arch)	hst (arch)		last (arch), lastan	last (arch), lastan	lest (arch), lišćivje	leşta (arch), lišćivje	ľest'	ľest' (noun)
8	h stv -	lv	h stv -	< CGerman *h1uwas (> NHG Löwe), cf. lat leo, gr lion	lion Gsg	hva, lwě, lwice, lwoun			hwa								ľva	ľva (Gsg)
					lion Nsg	lev	lev, leva	law	law	lew	lev	h stv	lav	lav	lev, GENsg leva	leu, if v a (Gsg)	lev	lev
9	h sz -	lz	h sz za, h sz za	*hugō, NHG schlucken	tear				lza, lzawy									
						slza	slza	szlza	dza	lez (Cpl)	szlza	solza	suza	solza		szlza	szlza	
10	h sz -	lž	h sz ica, h sz aka	lat ligula	spoon	lžice		lžica			hšzica	hšzica	Cr žlica	žlica	lžyca	lžyca	lžyca	lžyca
						lžice (dial)	lžica	žyca	lžyca		hšzica	hšzica	Cr žlica	žlica	lžyca	lžyca	lžyca	lžyca

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